

PART B - FEE(S) TRANSMITTAL

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2363 7590 03/28/2005

CHRISTIE, PARKER & HALE, LLP
PO BOX 7068
PASADENA, CA 91109-7068

06/28/2005 HDEMESS2 00000045 031728 10625970

01 FC:2501 700.00 DA
 02 FC:1504 300.00 DA
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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Linda L. Bolter

(Depositor's name)

(Signature)

June 27, 2005

(Date)

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/625,970 | 07/23/2003 | James P. McGuire | 50518/DJS/O195 | 1642 |

TITLE OF INVENTION: EAST-WEST SEPARABLE ROADM

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|-----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | YES | \$700 | \$300 | \$1000 | 06/28/2005 |
| EXAMINER | | ART UNIT | CLASS-SUBCLASS | | |
| SINGH, DALZID E | | 2633 | 39B-084000 | | |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 **Christie, Parker & Hale, LLP**

2

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

OPTICAL RESEARCH ASSOCIATES

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Pasadena, California

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
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☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 09-1728 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

David J. Steele

Typed or printed name

Date **June 27, 2005**Registration No. **47,317**

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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PLEASE DELIVER THE FOLLOWING PAGES IMMEDIATELY TO:Name: Mall Stop ISSUE FEE
Commissioner for Patents

Art Unit: 2633

Examiner: Dalzid E. Singh

From: David J. Steele
Reg No. 47,317Re: Application No. 10/625,970; Filed July 23, 2003
Entitled EAST-WEST SEPARABLE ROADM

File: 50518/DJS/O195

I HEREBY CERTIFY THAT THIS PAPER IS BEING FACSIMILE TRANSMITTED TO
THE UNITED STATES PATENT AND TRADEMARK OFFICE ON June 27, 2005.

Linda L. Bolter

***Correspondence: PART B-FEE(S) TRANSMITTAL (1 pg.)**
Comments on Statement Of Reasons For Allowance (1 pg.)For Office Services Use Only
Return/Fax to Linda BolterChristie, Parker & Hale, LLP
350 West Colorado Boulevard
Post Office Box 7088
Pasadena, CA 91109-7088
626-795-9900
Fax: 626-577-8800**confidential**

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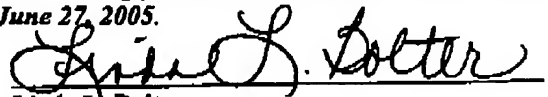


PATENT

Date of Notice
of Allowance : March 28, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on June 27, 2005.


Linda L. Bolter

Applicant : James P. McGuire, Jr.
Application No. : 10/625,970
Filed : July 23, 2003
Title : EAST-WEST SEPARABLE ROADM
Grp./Div. : 2633
Examiner : Dalzid E. Singh
Docket No. : 50518/DJS/O195
Customer No. : 23363

Confirmation No. 1642

COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


Post Office Box 7068
Pasadena, CA 91109-7068
June 27, 2005

Commissioner:

Reasons for allowance are only warranted in instances in which "the record of the prosecution as a whole does not make clear his or her reasons for allowing a claim or claims."
(37 CFR § 1.104(e))

Applicant believes the Examiner's stated reasons for allowance are unnecessary. The applicant does not necessarily agree with each statement in the reasons for allowance. While applicant agrees that the claims are allowable, applicant does not acquiesce with each statement in the reasons for allowance, that patentability requires each stated feature exactly as expressed by the Examiner, nor that each stated feature is required for patentability.

Respectfully submitted,
CHRISTIE, PARKER & HALE, LLP

By 
David J. Steele
Reg. No. 47,317
626/795-9900

DJS/llb
LLB IRV1087486.1--06/27/05 4:23 PM